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RB-4993

P. G. Dip. in Financial Services Examination

April / May – 2010

Management of Financial Services : Paper - III

Time : 3 Hours]

[Total Marks : 70

Instructions :

(1)

नीचे दृष्टावेक निशानीवाणी विगतो उत्तरवडी पर अवश्य लपवी. Fillup strictly the details of signs on your answer book.	Seat No. :
Name of the Examination :	<input type="text"/>
P. G. Dip. in Financial Services	<input type="text"/>
Name of the Subject :	<input type="text"/>
Management of Financial Services - 3	<input type="text"/>
Subject Code No. : <input type="text"/> 4 <input type="text"/> 9 <input type="text"/> 9 <input type="text"/> 3	<input type="text"/>
Section No. (1, 2,.....): <input type="text"/> Nil	
	Student's Signature

(2) Question No. 1 is **compulsory**.

(3) Figures to the right side indicate full marks of the question.

1 Answer in brief. 10

- (1) What is called financial intermediation?
- (2) What is meant by Seed Capital?
- (3) Explain Loan Syndication
- (4) Which is the Central Bank of our Country?
- (5) Explain Venture Capital financing
- (6) Which are the basic ingredients of development for rapid growth of a country?
- (7) What role does Insurance Company play in Financial Services?
- (8) Who are called Arbitrators?
- (9) State any four promoters of SHCIL.
- (10) Give the full form of LLP.

2 (a) Explain the Non-fund based activities of Financial Services. 6

(b) "Bank play a positive role in economic development of a country" - Explain. 6

OR

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[Contd...

2	(a) Explain RBI's control over NBFCs.	6
	(b) Mention the characteristics of Development Banks.	6
3	(a) Explain the various services of Merchant Banks.	6
	(b) Explain SEBI guidelines for Primary Market.	6
OR		
3	(a) Differentiate between Money Market and Capital Market.	6
	(b) State the functions or services of Stock Exchanges.	6
4	(a) State the advantages of leasing.	6
	(b) Explain the benefits of Depository System to Investors.	6
OR		
4	(a) List down the functions of RBI and explain any one at length.	6
	(b) What is the Code of Conduct to be followed by Stock Brokers?	6
5	(a) Explain in general the "Challenges faced by Commercial Banks".	6
	(b) Explain the benefits of Forfaiting.	6
OR		
5	(a) Explain the following terms.	6
	(i) Sub-brokers/Remisiers	
	(ii) Stop Loss Order	
	(iii) Rolling Settlement	
	(b) What are the functions of DFHI?	6
6	Write short notes on (any three)	12
	(a) Importance of Mutual Funds	
	(b) SEBI guidelines for Secondary Market	
	(c) Difference between financial lease and operating lease.	
	(d) Factoring	
	(e) Securitisation	